

Trade Life Cycle Flow Chart

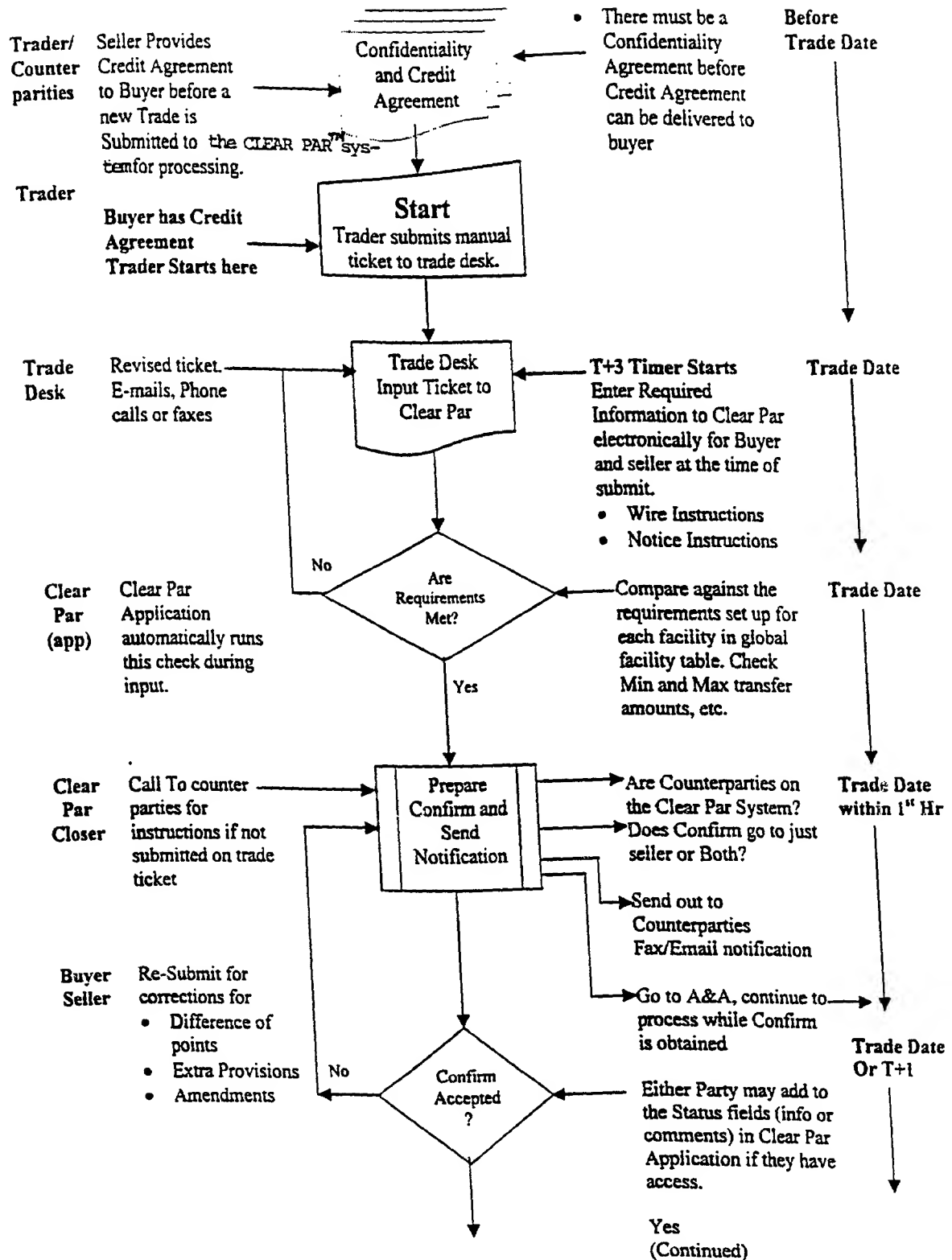


FIG. 1

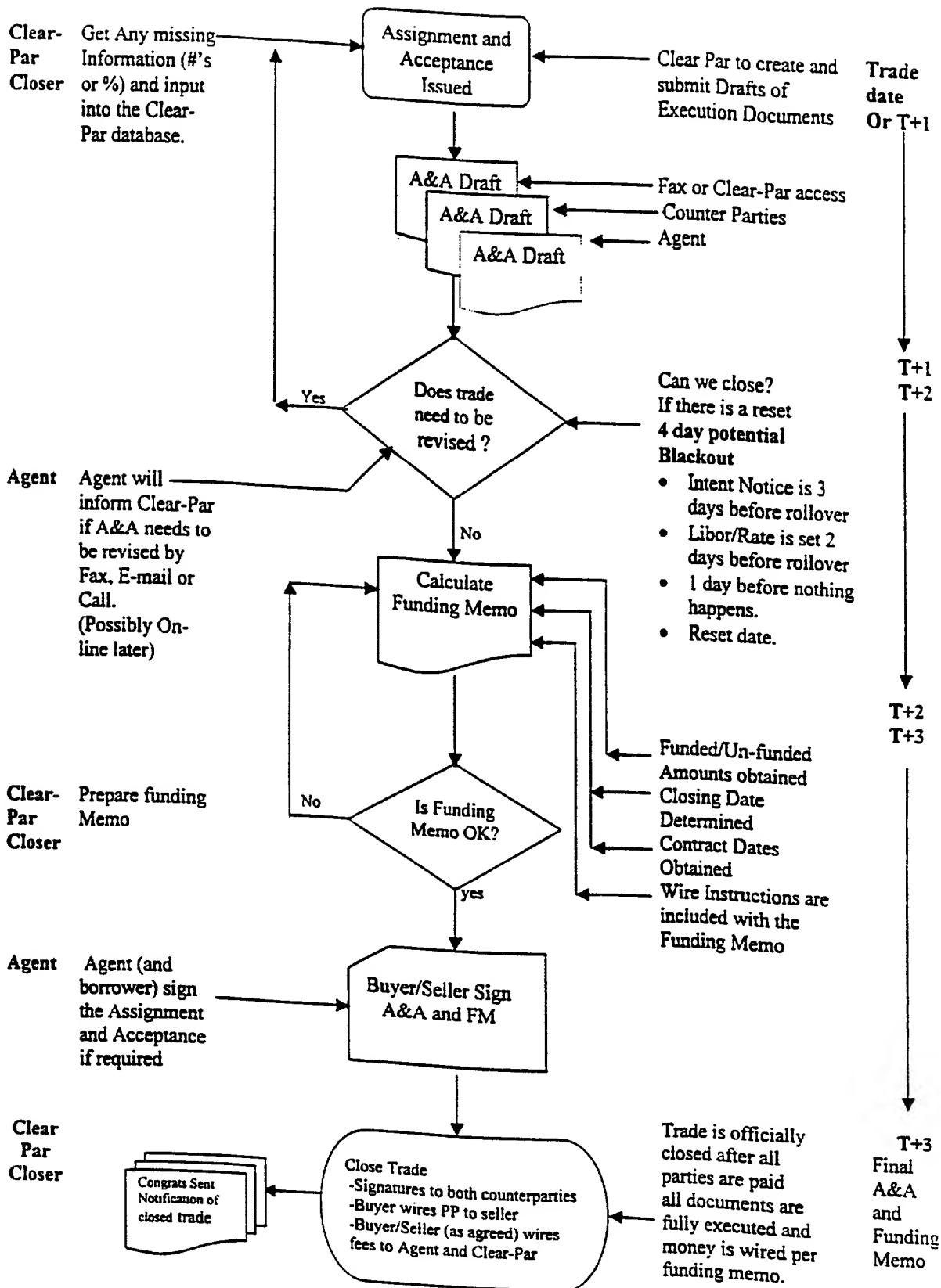



FIG. 2

Microsoft Access - [FormTradeTicket: Form]

File Edit View Insert Format Records Tools Window Help



Electronic Trade Ticket

My Company, Inc.

Clear PAR#
15259259

Date:
12/1/2000

Seller		Buyer		Broker	
Name:	My Company, Inc.	Name:	Your Company, Inc.	Name:	None
Trader:	Mr. Trader	Trader:	Ms. Trader		
Role:		Role:			

Check if Seller is selling from multiple funds ☒ **Loan** Name: Corporation X Add ☐ Check if Buyer is purchasing into multiple funds ☐

☒ Tranches ☐ Amendments

Facility	Portfolio	Security ID	Commitment	Currency	Price %	Last Updated	Up Front Fees
Term Loan A			\$50,000,000.00	USD	98.12500000	12/1/2000	
Revolver			\$50,000,000.00	USD	98.12500000	12/1/2000	
*							
Summary:			Total Commitment	\$100,000,000.00	Avg Price:	98.125%	Fees

Fees

Assignment Fee: \$3,000.00

Clear Par Fee: \$600.00

Broker Fee:

* Split Unless Paid by Seller

Checked Paid by Buyer

Submit to Clear Par

By Date/Time

Mr. Submit

12/1/2000 9:29:35 AM

Cancel

Terms of Trade

Settlement Date: Enter Date: 12/1/2000 or "No later than 10 business days after closing"

Interest Treatment: Settled With Accrued Interest Credit Does Required? Yes Method: Assignment Participation Under

Other Terms of Trade: None


Comments Example Text

Form View

FIG. 3

Microsoft Access - [frmConfirm : Form] _ | 0 | X

File Edit View Insert Format Records Tools Window Help _ | 0 | X




CLEAR PAR
Creating 1-1
Private Closing

**Trade Confirmation
Creator**

Clear PAR#
18289259

Trade Date:
12/1/2000

Preview Confirmation Print Full Confirmation 

To Buyer: Your Company, Inc. Contact: Mr. A Address: Belvoir Drive City/ST/Zip: Washingtonville NY 10992 Phone/Fax: (845)-555-5555 (845)-666-6666	Credit Docs to be provided: Yes Form of Purchase: Assignment Payable By: Seller Date: Buyer Date: Other Terms of Trade: None	Accrued Interest: Settled With Accrued Interest Settlement Date: 12/1/2000 No later than the date 10 business days after Trade Date.
From Seller: My Company, Inc. Contact: Mr. B Address: Apple Lane City/ST/Zip: Pine Valley NY 12345 Phone/Fax: (666)-555-5555 (666)-777-7777	Closer: Ms. Closer Address: Main Street City/ST/Zip: New City NY 1212 Phone/Fax: (845)-643-2429 (845)-643-7958	Submitted: Submit to Closer By: Date: Admin 12/1/2000 10:30:19 AM

Tranche Information for Corporation X

Select Facility	Purchase Amount
Term Loan A	\$50,000,000.00
Revolver	\$50,000,000.00
Total Commit: \$100,000,000.00	
Up Front Fee:	

Credit Agreement dated as of September 7, 1999, (as amended and in effect on the date hereof, the "Credit Agreement") among Corporation X, as Borrower, the Lenders party thereto, Bank Two, as Administrative Agent, Bank Three, as Syndication

Clear Par Resource View

Current Trade List Item:	PAR#	Trade Date:	Trade Confirm:	Assignment and Acceptance:	Funding Memo	Est. T-3 Date
Borrower:				Executed by party's:	Circ. / Exec.	Act. Close:

Record: 1 of 1


Record: 1 of 1 NUM

Form View

FIG. 4

Microsoft Access - [ClearPar A&A Creator]

File Edit View Insert Format Records Tools Window Help



Clear PAR#
15259239
Trade Date:
12/1/2000

Assignment & Acceptance Creator

Preview A&A Report Print A&A Report

Assignee: Your Company, Inc.		Assignor: My Company, Inc.		Firm: Clear Par LLC	
Contact: Mr. A		Mr. B		Closer: Ms. Closer	
Address: Belvoir Drive		Apple Lane		Address: Main Street	
City/State/Zip: Washingtonville NY 10992		Pine Valley NY 12345		City/State/Zip: New City NY 1212	
Phone/Fax: (845)-555-5555 (845)-666-6666		(666)-555-5555 (666)-777-7777		Phone/Fax: (845)-643-2429 (845)-643-7958	

Agreement dated as of: 12/1/2000 ☒ Check if issuing banks signatures are required for transfers of Revolving Credits and Letter of Credit Exposures.

Facility's	Principal Amt Assigned	Percentage Assigned
▶ Revolver	\$50,000,000.00	Commitment Assigned: 10.18744906%
	\$29,625,101.87	Outstanding Loan: 10.18744906%
Term Loan A	\$50,000,000.00	Commitment Assigned: 12.98701299%
	\$50,000,000.00	Outstanding Loan: 12.98701299%

Record: 1 of 2

Bank Name:	Signoff By:	Date:	Bank Sign OR Title:
Bank Two			
▶ Bank Three			
*			

Record: 2 of 2

Record: 1 of 1

Form View

FIG. 5

Microsoft Access - [frmFundingMemo: Form]

File Edit View Insert Format Records Tools Window Help

CLEAR PAR **Funding Memo Creator**

Clear PAR# 16259289
 Trade Date: 12/1/2000 T+3 Date: 12/6/2000 Actual Closing Date: Credit: Corporation X
 Preview Report Print Report Close Trade

From: My Company, Inc.	To: Your Company, Inc.	Firm: Clear Par LLC
Contact: Mr. B	Mr. A	Closer: Mr. Closer
Address: Apple Lane	Belvoir Drive	Address: Main Street
City/State/Zip: Pine Valley NY 12345	Washingtonville NY 10992	City/State/Zip: New City NY 1212
Phone/Fax: (666)-555-5555 (666)-777-7777	(845)-555-5555 (845)-666-6666	Phone/Fax: (845)-643-2429 (845)-643-7958

Enter RE and Credit Agreement text for Funding Memo report. Payment instructions:

Enter RE: Assignment Sell dated 12/6/00	To Bank: My Company Bank	Account #: 23232323
Agreement: Corporation X	Attn: My Account Manager	ABA#: 656565
Remark:	Reference: Corporation X	Alert Name: Example Account

Facility	Global Commitment	Global Outstanding	Unfunded Amount	Sale Amount	Price%	Buyer's Remit Amount	Buyer's Benefit
Term Loan A	\$385,000,000.00	\$385,000,000.00	\$0.00	\$30,000,000.00	98.125	\$49,062,500.00	\$0.00
Revolver	\$490,800,000.00	\$290,800,000.00	\$200,000,000.00	\$30,000,000.00	98.125	\$29,069,631.21	\$382,029.34

Record: 14 of 2

Loans outstanding under Selected Revolver as of December 01, 2000 (Effective Date)

Pricing Option	Cur	Global Amount of Loan	Buyer's share of loan	Start Date	Repricing Date	Base Rate	Margin%	RAC Rate%	All In Rate%
EURO	USD	\$15,800,000.00	\$1,609,616.95	10/15/2000	1/15/2001	6.1875	3.5		9.6875
EURO	USD	\$185,000,000.00	\$18,846,780.76	11/1/2000	2/1/2001	6.1875	3.5		9.6875
EURO	USD	\$90,000,000.00	\$9,168,704.15	11/15/2000	2/15/2001	5.1875	3		8.1875


Record: 14 of 3

Form View

FIG. 6

Microsoft Access - [FrmTradeTicket:Form] [?] [X]

File Edit View Insert Format Records Tools Window Help [?] [X]



Electronic Trade Ticket
My Company

Clear PAR#
18362963

Date:
12/1/2000

Seller		Buyer		Broker	
Name: Your Company, Inc.	Add	Name: My Company, Inc.	Add	Name: None	Add
Trader: Mr. Trader		Trader: Mrs. Trader			
Role:		Role:			

Check if Seller is selling from multiple funds ☐ **Loan** Name: Corporation Y Add Check if Buyer is purchasing into multiple funds ☐

☒ Tranches ☐ Amendments

Facility	Portfolio	Security ID	Commitment	Currency	Price %	Last Updated	Up Front Fees
Term Loan A			\$8,000,000.00	USD	99.87500000	12/1/2000	
Revolver			\$4,000,000.00	USD	99.12500000	12/1/2000	
Summary:			Total Commitment		Avg Price:	97%	Up Front Fees

Fees

Assignment Fee: \$3,000.00 Clear Par Fee: \$600.00 Broker Fee:

* Split Unless Paid by Seller ☐ * Paid by Buyer ☐

Submit to
Clear Par

Terms of Trade

Settlement Date: Enter Date: or "No later than 10 business days after closing."

Interest Treatment: Credit Docs Required? Yes Method: Participation Under

Settled With Accrued Interest: Other Terms of Trade: There are no other terms of this trade.


Comments Example Text: Cancel

Form View NUM

FIG. 7


Microsoft Access - [IrmConfirm : Form]

File Edit View Insert Format Records Tools Window Help



Clear PAR#
18362963
Trade Date:
12/1/2000

Trade Confirmation Creator

Preview Confirmation Print Full Confirmation 

To Buyer: My Company, Inc. Contact: Mr. B Address: Apple Lane City/State/Zip: Pine Valley NY 12345 Phone/Fax: (666)-555-5555 (666)-777-7777	From Seller: Your Company, Inc. Contact: Mr. A Address: Belpour Drive City/State/Zip: Washingtonville NY 10992 Phone/Fax: (845)-555-5555 (845)-666-6666	Credit Docs to be provided: Yes Form of Purchase: Assignment Payable By: Seller Date: _____ Buyer Date: _____ Other Terms of Trade: There are no other terms of this trade.
--	--	--

Accrued Interest: Settled With Accrued Interest

Settlement Date: No later than the date 10 business days after Trade Date.

Submit to Closer

Submitted By: _____ **Date:** 12/1/2000 11:35:03 AM

Tranche Information for Corporation Y

Select Facility	Purchase Amount
▶ Term Loan A	\$8,000,000.00
Revolver	\$4,000,000.00
Total Commit: \$12,000,000.00	
Up Front Fee: _____	

Credit Agreement dated as of June 12, 1999, (as amended and in effect on the date hereof, the "Credit Agreement") among Corporation Y, as Borrower, the Lenders party thereto, Bank Two, as Administrative Agent, Bank Three, as Co-Syndicator

Clear Par Resource View

PAR#	Trade Date	Trade Confirm	Assignment and Acceptance	Funding Memo	Est. T-3 Date
Borrower	Cho. / Exec.	Buyer/Borrower/Seller/Agent	Cho. / Exec.	Cho. / Exec.	Aut. Close


Record: 14 of 1

Form View

FIG. 8

Microsoft Access - [ClearPar A&A Creator]

File Edit View Insert Format Records Tools Window Help



Clear PAR
Clearing 1-1
Assignment & Acceptance

Clear PAR#
15362963
Trade Date:
12/1/2000

Assignment & Acceptance Creator

Preview A&A Report Print A&A Report

Assignee: My Company, Inc.		Assignor: Your Company, Inc.		Firm: Clear Par LLC	
Contact: Mr. B		Mr. A		Closer: Ms. Closer	
Address: Apple Lane		Belvoir Drive		Address: Main Street	
City/State/Zip:	Pine Valley NY 12345	Washingtonville NY 10992	City/State/Zip: New City NY 12121		
Phone/Fax:	(666)-555-5555 (666)-777-7777	(845)-555-5555 (845)-666-6666	Phone/Fax: (845)-643-2429 (845)-643-7958		

Agreement dated as of: 12/1/2000

☒ Check if issuing banks signatures are required for transfers of Revolving Credits and Letter of Credit Exposures.

Preview A&A

Facility's	Principal Amt Assigned	Percentage Assigned	
▶ Revolver	\$4,000,000.00	Commitment Assigned:	0.81495593 %
	\$3,144,254.28	Outstanding Loan:	0.81495593 %
Term Loan A	\$8,000,000.00	Commitment Assigned:	3.20000000 %
	\$7,680,000.00	Outstanding Loan:	3.20000000 %

Record: 14 of 2

Bank Name:	Signoff By:	Date:	Bank Sign Off Title:
Bank Two			
Bank Three			
Bank Four			
*			

Record: 3 of 4


Record: 14 of 1

Form View

FIG. 9

Microsoft Access - [frmFundingMemo : Form] _ | 0 | X |

File Edit View Insert Format Records Tools Window Help _ | 0 | X |



Clear PAR# 15362963

Trade Date: T+3 Date: Actual Closing Date: Credit

12/1/2000 12/6/2000 12/6/2000 Corporation Y

Funding Memo Creator

Preview Report

Print Report

Close Trade

F5

From	Your Company, Inc.		To	My Company, Inc.		From	Clear Par LLC	
Contact:	Mr. A			Mr. B		Closer	Ms. Closer	
Address:	Belvoir Drive			Apple Lane		Address	Main Street	
City/ST/Zip:	Washingtonville	NY 10992		Pine Valley	NY 12345	City/ST/Zip	New City	NY 12121
Phone/Fax:	(845)-555-5555	(845)-666-6666		(666)-555-5555	(666)-777-7777	Phone/Fax	(845)-643-2429	(845)-643-7958

****Enter RE and Credit Agreement text for Funding Memo report. Payment Instructions:**

Enter RE: Assignment Sell dated 12/6/00	To Bank: Your Company Bank	Account #: 1234567
Agreement Name: Corporation Y	Attn: # Your Manager	ABAA: 9876543
	Reference: Corporation Y	Acct Name: Example Account

Facility:	Global Commitment	Global Outstanding	Unfunded Amount	Sale Amount	Price%	Buyer's Remit Amount	Buyer's Benefit
▶ Revolver	\$490,800,000.00	\$385,800,000.00	\$105,000,000.00	\$4,000,000.00	95.125	\$2,990,971.88	\$41,717.60
Term Loan A	\$250,000,000.00	\$240,000,000.00	\$10,000,000.00	\$8,000,000.00	98.875	\$7,593,600.00	\$3,600.00

Record: 14 | 1 | 1 | 1 | 1 | 1 | of 2

Loans outstanding under Selected Revolver as of December 01, 2000 (Effective Date)

Pricing Option:	Cur	Global Amount	Buyer's share of loan:	Start Date:	Repricing Date:	Base Rate:	Margin%:	RAC Rate%:	All In Rate%:
EURO	USD	\$15,800,000.00	\$128,769.36	9/15/2000	12/15/2000	6.1875	3.5		9.6875
EURO	USD	\$180,000,000.00	\$1,466,992.67	10/1/2000	1/2/2001	5.1875	3		8.1875
EURO	USD	\$190,000,000.00	\$1,548,492.27	11/1/2000	2/1/2001	5.1875	3		8.1875

Record: 14 | 1 | 1 | 1 | 1 | 1 | of 3

Form View NUM

FIG. 10


Clear Par Application - [frmTradeStatus : Form]																																																																																																																				
File Edit Insert Records Window Help																																																																																																																				
	Clear PAR#: 15259259	Buyer: Your Company, Inc.	Term Loan A	Credit Agreement Credit Agreement dated as of September 7, 1999, (as amended and in effect on the date hereof, the "Credit Agreement") among Corporation X, as Borrower, the																																																																																																																
	Trade Date: 12/1/2000	Seller: My Company, Inc.	Revolver																																																																																																																	
		Borrower: Corporation X																																																																																																																		
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Add Trade Status	Add T+1 Status	Add T+2 Status	Add T+3 Status	Actual Closing Date: 12/6/2000																																																																																																																
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Form View																																																																																																																				

FIG. 11